

430 Rec'd PCT/PTO

09/508778

15 MAR 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. 3711-000105

Inventors: Benedict Seifert and Robert Hesselbo)
Serial No.)
Filed:)
For: Order Processing Apparatus And Method)

**Information Disclosure
Statement**

Hon. Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The Examiner is advised that the patents and other documents listed on the attached Information Disclosure Citation Form have come to the attention of applicants and/or applicants' attorney. Copies of each of these patents and other documents are enclosed herewith for consideration by the Examiner. These patents and other documents were cited in the International Search Report issued in conjunction with the PCT application.

It is respectfully submitted that the presently pending claims distinguish over each of the cited references taken individually as well as in combination.

Respectfully submitted,

By Ronald W. Wangerow
Ronald W. Wangerow
Reg. No. 29,597
Attorney for Applicants

HARNESS, DICKEY & PIERCE, P.L.C.
P.O. Box 828
Bloomfield Hills, MI 48303
(248) 641-1600

March 15, 2000

RWW/jp
Enclosures

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 1 of 1	ATTORNEY DOCKET NO. 3711-000105	SERIAL NO. 09/508778
	APPLICANT Seifert et al	
	FILING DATE	GROUP

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		5,297,031	3/1994	Guttermann et al		

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
1.		0 401 203	12/1990	EPO			
2.		WO 96/05563	2/1996	WIPO			
3.		WO 96/34357	10/1996	WIPO			

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
1.		"Online Stock Trading System: Study of An Application" - Computer Society International Conference. Feb. 23-27, 1987 (1 sheet) pp. 161 and 162
2.		"NORDEX: automated trading for Nordic equities"; Computers in the City 89 - Nov. 14 - 16, 1989 - pp. 53-61.
3.		"How Computer Assisted Trading Is Making The Toronto Stock Exchange Purr"; Wall Street Computer Review - December 1987, pp. 71-77, 97
4.		Copy of International Search Report dated February 3, 1999

Examiner:	Date Considered:
-----------	------------------